

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

John KIRCHGEORG et al

Application No: 09/151,300

Group Art Unit: UNKNOWN

Filed: September 11, 1998

Examiner: UNKNOWN

For: PORTABLE EMERGENCY OXYGEN AND AUTOMATIC EXTERNAL
DEFIBRILLATOR (AED) THERAPY SYSTEM

SUBMISSION OF EXECUTED DECLARATION

ATTN: APPLICATION BRANCH

Assistant Commissioner of Patents
Washington, D.C. 20231

Sir:

In response to the "Notice to File Missing Parts of Application-Filing Date Granted",
mailed October 6, 1998, submitted herewith is the Declaration for the above-mentioned
application properly executed by the inventors.

A check for the statutory fees of \$130.00 is attached. You are also directed and
authorized to charge or credit any difference or overpayment to Deposit Account No. 19-4880.
The Commissioner is hereby authorized to charge any fees under 37 C.F.R. 1.116 and 1.17
which may be required during the entire pendency of the application to Deposit Account No. 19-
4880. A duplicate copy of this transmittal letter is attached.

Respectfully submitted,



Richard C. Turner
Registration No. 29,710

SUGHRUE, MION, ZINN,
MACPEAK & SEAS, PLLC
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Washington, D.C. 20037
(202) 293-7060

Date: Novmeber 18, 1998

14.3
Applicant or Patentee: Kirchgeorg
Serial or Patent No.: _____
Filed or Issued: _____
Title: PORTABLE EMERGENCY OXYGEN AND AUTOMATIC EXTERNAL DEFIBRILLATOR (AED) THERAPY SYSTEM

Attorney: _____ Docket No.: A-7205

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) & 1.27(c))--SMALL BUSINESS CONCERN**

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☐ an official of the small business empowered to act on behalf of the concern identified below:

NAME OF SMALL BUSINESS CONCERN LIFE CORPORATION

ADDRESS OF SMALL BUSINESS CONCERN 1776 North Water Street-P.O. Box 3000
Milwaukee, WI 53201-3000

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees to the United States Patent and Trademark Office, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled PORTABLE EMERGENCY OXYGEN AND AUTOMATIC EXTERNAL DEFIBRILLATOR (AED) THERAPY SYSTEM by inventor(s) John Kirchgeorg and Richard C. Turner

described in

- ☐ the specification filed herewith
☒ application serial no. 09/151,300, filed September 11, 1998
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights in the invention listed below* and no rights to the invention are held by any person, other than the inventor, who would not qualify as an independent inventor under 37 CFR 1.9(c) if that person made the invention, or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d), or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities (37 CFR 1.27)

NAME _____
ADDRESS _____

- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____

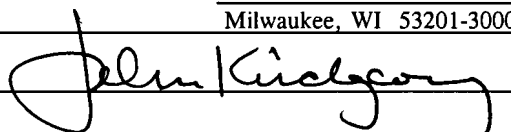
- ☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING John Kirchgeorg
TITLE OF PERSON IF OTHER THAN OWNER _____
ADDRESS OF PERSON SIGNING 1776 North Water Street-P.O. Box 3000
Milwaukee, WI 53201-3000

SIGNATURE



DATE

6 October 1998